



Case Docket No. VIA-14

In re application of: John R. Liddicoat et al.  
Serial No.: 09/760,222  
Filed: 01/12/2001  
For: TISSUE ANNULOPLASTY BAND AND APPARATUS AND METHOD FOR  
FASHIONING, SIZING AND IMPLANTING THE SAME

Examiner: William H. Matthews

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**APR 12 2004**

Sir:

Transmitted herewith is an amendment in the above-identified application. **TECHNOLOGY CENTER 3700**

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.  
☐ No additional fee is required.

The fee has been calculated as shown below:

			Small Entity			Large Entity		
	Claims After Amend.		Most Claims Previously Paid For	Present Extra Claims	Rate	Additional Fee	Rate	Additional Fee
Total	29	Minus	28	1	x 9	\$9.00	x18	
Indepen.	5	Minus	4	1	x 43	\$43.00	x 86	
Mult. Claims				0	+145	\$0.00	+290	

Total Additional Fee: \$52.00

☐ Please charge my Deposit Account No. 16-0221 the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.

☒ A check in the amount of \$52.00 is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to **Deposit Account No. 16-0221**. A duplicate copy of this sheet is attached.

- ☒ Any filing fees required under 37 CFR 1.16 for the presentation of extra claims.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*James A. Sheridan* 4/5/04  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John R. Liddicoat et al.  
Serial No.: 09/760,222  
Filing Date: 01/12/2001  
Title: TISSUE ANNULOPLASTY BAND AND APPARATUS  
AND METHOD FOR FASHIONING, SIZING AND  
IMPLANTING THE SAME  
Group Art Unit: 3738  
Examiner: William H. Matthews  
Attorney's Docket No.: VIA-14

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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April 5, 2004

(DATE OF DEPOSIT)

James A. Sheridan

(NAME OF ATTORNEY)

*James A. Sheridan* 4/5/04  
(SIGNATURE)

April 5, 2004

(DATE OF SIGNATURE)

AMENDMENT

This is in response to the Official Action currently  
outstanding with respect to the above-identified patent  
application.

Please amend the application as follows:

VIA-14

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